UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.		t No.	42P2737C4				
First I	nventor	David	l Bistry, et al.				
Title							
	Emptying Packed Data State During Execution of Packed Data Instructions						
Everence Mail Lobel No.			ET (17000204TIC				

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No.   EL617209324US										
APPLICATION ELEMENTS  Mail Stop Patent Application										
See MPEP chapter 600 concerning utility patent application contents				ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
	smittal Form (e.g., PTO/SB/17) original and a duplicate for fee processing)				r CD-R in duplicat Program ( <i>Appendi</i>		or	PTO 19		
	t claims small entity status. CFR 1.27.				d/or Amino Acid all necessary)	Sequence S	Submission	U.S. 0560		
- Descripti - Cross Re - Statemer - Reference or a com - Backgron - Brief Desc - Detailed - Claim(s) - Abstract  4. Drawing(s)  5. Oath or Decl a. Ne b. Co	d arrangement set forth below) ive title of the Invention eferences to Related Applications nt Regarding Fed sponsored R & D ce to sequence listing, a table, eputer program listing appendix und of the Invention mmary of the Invention scription of the Drawings (if filed) Description	20 ] 2 ] 3 . § 1.63(d)) 3 completed)	10.	Specifica  i. Ci ii. pa  State  Assignment 37 C.F.R. § (when there English Tra Information Statement of Preliminary Return Rec (Should be Certified Co (if foreign p Nonpublica)	nputer Readable tion Sequence L D-ROM or CD-F aper ements verifying t Papers (cover sh 3.73(b) Statemen e is an assignee) in Sistemen in Disclosure (IDS)/PTO-1449 or Amendment reipt Postcard (MP specifically itemiz popy of Priority Doc priority is claimed) tion Request unden nust attach form P	isting on: R (2 copies); I identity of a line t & docume it	ent(s)) Power of Atto e) Copies of IDS Citations Application Atto Reflect Cla Priority	they continued the continued of the cont		
	ING APPLICATION, check appropria	ite box, and s	supply the	requisite in	formation below a	nd in the first	sentence of	the		
specification follov	ving the title, or in an Application Dat	a Sheet unde	er 37 CFR	1.76:						
Continua     Continua		ntinuation-in-			of prior application					
For CONTINUATION O	tion Information: Examiner  R DIVISIONAL APPS only: The entire disclosure of the accompanying continuation or divisional divertently omitted from the submitted applicate	re of the prior app I application and	iel H. Pa plication, from is hereby inc	m which an oat	th or declaration is sup	oplied under Box oration <u>can only</u> b	_2183 5b, is considered be relied upon w	d a hen		
a portion has treen lild		O. CORRESP	ONDENC	E ADDRES	SS					
☐ Customer Number 08791 or ☐ Correspondence address below										
Name	Daniel M. DeVos									
	Blakely, Sokoloff, Taylor & Za					<del></del>				
Address	ess 12400 Wilshire Boulevard, 7th Floor									
City	Los Angeles	tate	ate California 2			90025				
Country	USA	Telephor	ne	(408) 720	D-8300	Fax	(408) 720-	8383		
Name (Print/	Type) Daniel M. DeVos	1///	/	Re	gistration No. (Att	orney/Agent)	37,813			
Signature		$\leftarrow$		L	<u> </u>	Date 3/19	7/09	<b>一</b> J		
	04) as madified by Blakety Salakett Today 9 7ate	man (with 02/10/20	204			7 7				

FEE]

## FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

824.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Art Unit

David Bistry

Daniel H. Pan

Art Unit

David Bistry

Daniel H. Pan

Art Unit

David Bistry

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES	3	-		
Deposit Account	Larg	e Entity	Sma	ii Entity				
Deposit [	Fee Code	Fee (\$)	Fee Code	Fee (\$)	- 	<b>.</b>		
Account Number			•			Description		Fee Paid
Deposit	1051 1052	130 50	2051 2052	65 25	Surcharge - late filing to Surcharge - late provis			
Account Name Blakely, Sokoloff, Taylor & Zafman LLP					cover sheet.	•		
The Commissioner is authorized to: ( check all that apply)	2053 1812	130 2,520	2053 1812	130 2,520	Non-English specificat For filing a request for		ation	
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1804	920 *	1804	920 1		-		
Charge any additional fee(s) or underpayment of fees as required under 37 CFR	ļ				Examiner action			
§§ 1.16, 1.17, 1.18 and 1.20.  Charge fee(s) indicated below, except for the filing fee	1805	1,840 *	1805	1,840 `	<ul> <li>Requesting publication Examiner action</li> </ul>	n of SIR after		
to the above-identified deposit account	1251	110	2251	55	Extension for reply with	hin first month		
FEE CALCULATION	1252	420	2252	210	Extension for reply with	hin second month		
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply with	hin third month		
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply with	hin fourth month		-
Fee Fee Fee Fee Fee Fee Paid Code (5)	1255	1,210	2255	605	Extension for reply with	hin fifth month		
1001 770 2001 385 Utility filing fee 770.00	1404	330	2401	165	Notice of Appeal			
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in suppor	• •		
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral heart	-		
1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a p	·	ng	
1005 160 2005 80 Provisional filing fee	1452 1453	110 1,330	2452	55 665	Petition to revive - una Petition to revive - una			L
SUBTOTAL (1) (\$) 770.00	1501	1,330	2501	665	Utility issue fee (or rei			<u> </u>
2. EXTRA CLAIM FEES Extra Sea from	1502	480	2502	240	Design issue fee	3300)		<u> </u>
Claims below Fee Paid	1503	640	2503	320	Plant issue fee			
Total Claims 23 . 20° = 3 x 18.00 = \$54.00	1460	130	2460	130	Petitions to the Comm	nissioner		
Independent 3 3 5 0 x 86.00 = \$0.00	1807	50	1807	50	Prosessing fee under	37 CFR 1.17(q)		<del></del>
Multiple Dependent	1806	180	1806	180	Submission of Informa	ation Disclosure St	mt	
Large Entity Small Entity	8021	40	8021	40	Recording each pater property (times number			
Fee	1809	770	1809	385				
1202 18 2202 9 Claims in excess of 20	1009	770	1009	300	(37 CFR § 1.129(a))	ior in a rojection		
1201 88 2201 43 Independent claims in excess of 3	1810	770	2810	385				
1203 290 2203 145 Multiple Dependent claim, if not paid	1801	770	2801	205	examined (37 CFR § 1		E)	
1204 86 2204 43 **Reissue independent claims over original patent	1802	770 900	1802	385 900	Request for expedited	,	-,	
1205 18 2205 9 **Reissue claims in excess of 20 and over	1		ł	300	of a design application			
original patent	Other	ee (specify)	)					
SUBTOTAL (2) (\$) 54.00	· Restre	d by Basic Filir	no Fee Pa	id .		CUDTOTAL (S)	( <del>¢</del> )	
**or number previously paid, if greater, For Reissues, see below		,	J. 30. 0			SUBTOTAL (3)	(\$)	
SUBMITTED BY Complete (if applicable)								able)
Name (Print/Type) Paniel M. DeVos		Registrati Attomey/Age		<u>`</u> :	37,813	Telephone	(408) 72	.0-8300
Signature	(					Date	3/19/04	

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

:

Confirmation No.

First Named

David Bistry

Inventor

Filed

TC/A.U.

**Emptying Packed Data** 

Art Unit No.

2183

State During Execution of Packed Data Instructions

Examiner

: Daniel H. Pan

Docket No.

42P2737C4

Customer No.

: 08791

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

# NOTIFICATIONS OF RELATED LITIGATION UNDER MPEP § 2001.06 (C)

#### Examiner:

U.S. Patent No. 5,701,508 (which issued based on a parent of this application) and 5,835,748 (which has the same specification as this application) were subject of litigation (United States District Court, Western District of Texas, Austin Division, Civil Action No. A-01-CA-602; captioned *Via Technologies, Inc. and Centaur Technology, Inc. v. Intel Corporation*). In addition, the foreign counterpart of U.S. Patent No. 5,701,508 was the subject of litigation in Great Britain, Hong Kong, and Taiwan. All of this litigation has now settled. Applicant submits herewith an IDS containing certain of the pleading and references relied on in this litigation<sup>1</sup>, including:

Keith Diefendorff, et al., "Organization of the Motorola 88110 Superscalar RISC Microprocessor", IEEE Micro, pgs. 40-63, April 1992.

R.D. Dixon, "A Stack-Frame Architecture Language Processor", The Journal of Forth Application and Research, Proceedings of the 1987 Rochester Forth Conference, Volume 5, Number 1, pp. 11-25.

Linley Gwennap, "UltraSparc Adds Multimedia Instructions, Other New Instructions Handle Unaligned and Little-Endian Data", Microprocessor Report, pgs. 16-18, December 5, 1994.

L. Kohn, et al., "The Visual Instruction Set (VIS) in UltraSPARCTM", IEEE Computer Society Press, pgs. 462-469, Los Alamitos, California, 1995.

<sup>&</sup>lt;sup>1</sup> The Taiwan counterpart has a later priority date than U.S. Patent No. 5,701,508. As a result, in the Taiwan litigation, different references were identified that have a publication date later than the priority date of this U.S. application. As such, these pleadings and references are not being submitted herewith.

Philip Koopman, Jr., "Stack Computers the new wave", Mountain View Press, pgs. 3-234, La Honda, California, 1989.

فهار

Of course, there are additional materials beyond what is being submitted. If any such additional materials are desired, Applicant will make its best effort to provide such documents upon request. It should be noted that Via Parties' confidential information has been redacted from these materials.

In order to aid the Examiner, Applicant provides below a description of the documents submitted.

#### U.S. Litigation

As discussed in the original Notifications of Related Litigation, *Via Technologies, Inc.*, *Centaur Technology, Inc.* and *Via Cyrix, Inc.* (collectively Via Parties) alleged that the '508 and '748 patents are invalid<sup>2</sup>, and Intel denied such allocations and replied to each.<sup>3</sup>

Applicant draws the Examiner's attention to "The Via Parties' Notice Under 35 USC §282" of March 7, 2003 that identifies on pages 7-19 a very large number of sources of information that the Via Parties were considering relying on to support their allegations. Since only selected ones of the large number of items listed in that document were ultimately relied upon in Via Parties' expert reports and since such items are attached as exhibits to those reports, Applicant has opted to submit herewith these reports (see below) as opposed to each of these references individually. Specifically, Applicant draws the Examiner's attention to the Via Parties' submission of the "Expert Report of Harold Stone (2)" of March 17, 2002 and to Intel's submission of the "Rebuttal Expert Report Of Dr. V. Thomas Rhyne Regarding The Validity Of U.S. Patent Nos. 5,701,508, 5,835,748, and 5,201,043" of March 24, 2002. If any additional materials identified in these documents are desired, Applicant will make its best effort to provide such documents upon request.

<sup>&</sup>lt;sup>2</sup> Each filed replies to Intel's counterclaims of May 16, 2002(US). Since they are duplicative in nature, Applicant has included one in the attached IDS (Centaur's Reply to Intel's Counterclaims of May 16, 2002; dated May 31, 2002) and directs the Examiner's attention to pages 8-10. See also "Via's And Centaur's Short Statement Regarding Their Non-Infringement and Invalidity Defenses" Of January 24, 2002 And "The Via Parties' Second Supplemental Response To Intel's Interrogatory (No. 3) And Third Supplemental Response To Intel's Interrogatory (No. 6)" of March 7, 2003.

The Via Parties filed a Motion for Summary Judgment alleging that the '748 patent was invalid for failure to disclose the best mode.4 However, Intel successfully opposed the motion<sup>5</sup> and an order denying the motion was entered on March 31, 2003.<sup>6</sup>

#### Great Britain and Hong Kong Litigation

In addition, certain foreign counterparts of US Patent Number 5,701,508 were the subject of corresponding litigation in Great Britain (UK Patent No. 2 326 494), Hong Kong (Hong Kong Standard Patent No.1016711), and Taiwan.

In Great Britain and Hong Kong, references have similarly been identified<sup>7</sup>.

Applicant has submitted herewith opening and closing skeletal arguments of Intel<sup>8</sup> and the Via Parties. 9 Applicant also submits the expert reports of Intel<sup>10</sup> and the Via Parties. 11 In addition, Applicant submits a collection of documents referred to as "Bundle X Exhibits Produced At Trial" containing, as the name suggests, the exhibits that were produced during the UK litigation. Applicant draws the Examiner's attention to those sections of these documents dealing with UK Patent No. 2,326,494, which is the foreign counterpart to U.S. Patent No. 5,701,508.

Applicant would point out that both the U.S. and UK litigation were proceeding in parallel, and therefore address the same and/or similar issues.

<sup>&</sup>lt;sup>3</sup> For instance, see "Reply of Intel to Centaur's Counterclaim" of June 13, 2002.

<sup>&</sup>lt;sup>4</sup> See "The Via Parties' Motion For Summary Judgment That U.S. Patent No. 5,835,748 Is Invalid For Failure To Disclose The Bus Mode" of March 17, 2003 and "The Via Parties' Reply To Intel's Opposition To The Via Parties' Motion For Summary Judgment That U.S. Patent No. 5,835,748 Is Invalid For Failure To Disclose The Best Mode" of March 27, 2003.

<sup>&</sup>lt;sup>5</sup> See "Intel's Opposition To The Via Parties' Motion For Summary Judgment Of Invalidity Of U.S. Patent No. 5,835,748 Based On Best Mode" of March 24, 2003.

<sup>&</sup>lt;sup>6</sup> See Order dated March 31, 2003.

<sup>&</sup>lt;sup>7</sup> For Great Britain, Applicant directs the Examiner's attention to the following in the attached IDS: Defence and Counterclaim starting at 19 and Particulars of Objections starting at page 2; both dated December 12, 2001. For Hong Kong, Applicant directs the Examiner's attention to the following in the attached IDS: Particulars of Objections; dated December 27, 2002.

<sup>&</sup>lt;sup>8</sup> See "Intel's Opening Skeletal Argument" of February 25, 2003 and "Intel's Closing Skeletal Argument" of February 13, 2003.

<sup>9</sup> See "Defendants' Opening Skeletal Argument" of January 27, 2003 and "Defendants' Closing Skeletal Argument" of February 13, 2003.

10 See "Expert Report of Michael David May" of December 20, 2002 and "Expert Report of Michael David

May Exhibits."

See "Experts' Report of Simon Richard Jones" of December 20, 2002.

Finally, Applicant includes an Order of February 3, 2002 giving Intel leave to amend the specification of UK Patent No. 2,326494.

### Charge our Deposit Account

Please charge any shortage to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFE, TAYLOR & ZAFMAN LLP

Date:  $3/1^{\circ}$ \_\_, 2004

Daniel M. DeVos Reg. No. 37,813

12400 Wilshire Boulevard

Seventh Floor

Los Angeles, California 90025-1026

(408) 720-8300